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Atty Dkt No. 8500-0256
SRI No. US 4033-2

7/12/02 Margaret K. Kloppig
Date Margaret K. Kloppig

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Asutosh Nigam

Serial No.: 09/812,712

Filing Date: March 19, 2001

Group Art Unit: 1774

Examiner: Dawn Garrett

Title: PREPARATION OF LIGHT-EMITTING, HIGHLY REFLECTIVE AND/OR METALLIC-LOOKING IMAGES ON A SUBSTRATE SURFACE

RESPONSE TO REQUIREMENT FOR RESTRICTION AND ELECTION OF SPECIES

Commissioner for Patents
Washington, DC 20231

Sir:

This is in response to the Office Action mailed June 12, 2002. In the Office Action, the Examiner required restriction between the following two groups of claims:

- I. Claims 1-40 and 47-55, drawn to a process for producing an image; and
- II. Claims 41-46 and 56-62, drawn to a treated substrate.

The Examiner has also required that the applicant elect a single disclosed species for initial examination from the following claimed opaque coating compositions:

1. The combination of a monomeric polyacid and a monomeric polybase;
2. The combination of a monomeric polyacid and a polymeric polybase;
3. The combination of a polymeric polyacid and a monomeric polybase; and
4. The combination of a polymeric polyacid and a polymeric polybase.

The following further election of species has also been required:

1. If a monomeric polyacid is selected, one compound from those listed in claim 9 must also be selected;
2. If a polymeric polyacid is selected, one compound from those listed in claim 14 must also be selected; and
3. If a monomeric polybase is selected, one compound from those listed in claim 10 must also be selected.

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RESPONSE TO RESTRICTION REQUIREMENT:

In response, applicant elects Group I, claims 1-40 and 47-55, without traverse.

RESPONSE TO ELECTION OF SPECIES REQUIREMENT:

With regard to the Examiner's request for election of species, applicant elects the species wherein the opaque coating composition comprises a polymeric polyacid and a polymeric polybase. Applicant further elects the species wherein the polymeric polyacid is poly(acrylic acid).

Although the Examiner has not requested that a polymeric polybase be elected, in the event the Examiner should deem such an election necessary, applicant elects the species wherein the polymeric polybase is polyethyleneimine.

Within Group I, claims 1-4, 6, 7, 12-14, 21-40, and 47-55 read on the elected species.

Applicant wishes to remind the Examiner that this election of species and subspecies is for the sole purpose of the Examiner's initial search and examination, and that upon allowance of a generic claim, applicant is entitled to have all non-elected species encompassed by that claim examined (37 C.F.R. 1.141).

Should the Examiner have any questions concerning this communication, or wish to discuss the case as search and examination are initiated, please contact the undersigned attorney at (650) 330-0900.

Respectfully submitted,

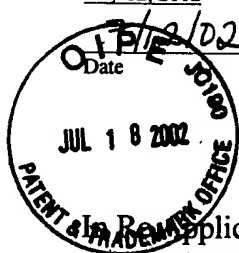
By: 

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Margaret K. Klopping
Margaret K. Klopping

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Asutosh Nigam

Serial No.: 09/812,712

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Group Art Unit: 1774

Filing Date: March 19, 2001

Examiner: Dawn Garrett

Title: PREPARATION OF LIGHT-EMITTING, HIGHLY REFLECTIVE AND/OR METALLIC-LOOKING IMAGES ON A SUBSTRATE SURFACE

**RESPONSE TO REQUIREMENT FOR RESTRICTION AND
ELECTION OF SPECIES TRANSMITTAL LETTER**

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is an amendment in the above patent application in response to the Office Action of June 12, 2002.

- ☐ A Petition for Extension of Time is enclosed.
☒ Also enclosed: Return Postcard.
☒ No additional amendment fee is required.
☐ An additional Amendment Fee is required as calculated below.

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GROUP 1700

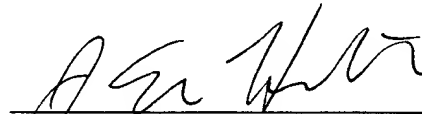
No. of Claims After Amendment			Most Claims Previously Paid		Extra Claims				Additional Fee
A. Total Claims	62	-	62	=	0	x	\$18	=	0.00
B. Ind. Claims	4	-	4	=	0	x	\$84	=	0.00
C. If amended to contain multiple dependent claims, add \$260							\$280	=	0.00
D. Total Amendment Fee (Total of A, B & C)								=	0.00
E. If small entity, 50% reduction of Total Amendment Fee (50% of D)								=	0.00
F. Total Amendment Fee (D minus E)								=	0.00

- ☐ A check for \$0.
☐ Charge \$0 to Deposit Account No. 18-0580.

The Commissioner is hereby authorized to charge any fees under 37 CFR §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-0580. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

By:



J. Elin Hartrum

Registration No. 43,663

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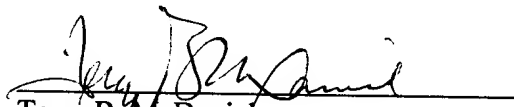


Case Docket No. CHR 99-9

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CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on July 8, 2002.


Terry B. McDaniel
Attorney for the Applicants
Registration No. 28,444

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